



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 08/836,455	FIRST NAMED APPLICANT Malaya Chatterjee	ATTY. DOCKET NO. 304142000322
INTERNATIONAL APPLICATION NO. PCT/US96/20757		
I.A. FILING DATE 12/19/1996		PRIORITY DATE

25226
 MORRISON & FOERSTER LLP
 755 PAGE MILL RD
 PALO ALTO, CA 94304-1018

CONFIRMATION NO. 1688

371 FORMALITIES LETTER



OC000000018308102

Date Mailed: 03/17/2006

NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", **as well as an amendment specifically directing its entry into the application.** Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.

- Send e-mail correspondence for Patent Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DONNA S GREENE

Telephone: (703) 308-9140 EXT 222

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
08/836,455	PCT/US96/20757	304142000322

FORM PCT/DO/EO/922 (371 Formalities Notice)

U.S. Appl. No.

8/836453

International Ap.

b. US96/20757

Application filed by : ☐ 20 months ☒ 30 months

WIPO PUBLICATION INFORMATION :

Publication No.: WO 97/122699

Publication Language : ☒ English ☐ German ☐ Japanese ☐ Chinese ☐ Korean
☐ French ☐ Spanish ☐ Russian ☐ Other :

Publication Date : 6/26/97

Not Published : ☐ U.S. only designated ☐ EP request

Published : ☐ EP request

INTERNATIONAL APPLICATION PAPERS IN THE APPLICATION FILE :

☒ International Application (RECORD COPY)

☐ PCT/IB/331

☐ Article 19 Amendments

☐ Request form PCT/RO/101

☐ PCT/IPEA/409 IPER : ☐ EP ☐ JP ☐ SE ☐ AU

☐ PCT/ISA/210 - Search Report : ☐ EP ☐ JP ☐ SE ☐ AU

☐ US ☐ FR ☐ CN ☐ ES ☐ RU ☐ AT ☐ KR ☐

☐ US ☐ FR ☐ CN ☐ ES ☐ RU ☐ AT ☐ KR ☐ OTHER

☐ PCT/IPEA/409 IPER was NOT AVAILABLE at the time of
paralegal review

☐ NONE

☐ Annexes to 409

☐ Search Report References

☒ Priority Document (s) No. 2

☐ Other :

RECEIPTS FROM THE APPLICANT (other than checked above) :

☐ Basic National Fee (or authorization to charge)

☐ Preliminary Amendment(s) Filed on :

☒ Description ☒ Claims ☒ Abstract

1. 2. 3.

☐ Information Disclosure Statement(s) Filed on :

1. 2. 3.

☒ Drawing Figure(s) - (# of drwgs. 28)

☐ Assignment Document (forwarded to
Assignment Branch) 1.

☐ Translation of Article 19 Amendments

☐ Assignee PG Publication Notice

☐ entered ☐ not entered :

☐ Substitute Specification Filed on :

☐ not a page for page substitution
☐ replaced by Article 34 Amendment

1. 2.

☐ Annexes to 409

☐ Verified Small Status Statement 1.

☐ entered ☐ not entered :

☒ Oath/ Declaration (executed)

☐ not a page for page substitution
☐ no translation ☐ other :

☐ unsigned ☐ no citizenship

☐ DNA Diskette

☐ Sequence Listing (missing)

☐ Application Data Sheet

☐ Other :

☐ Power of Attorney/ Change of Address

NOTES : ☒ I.A. used as Specification ☐ Other :

35 U.S.C. 371 - Receipt of Request (PTO-1390)

5/9/97

Date Acceptable Oath/ Declaration Received

5/9/97

Date of Completion of requirements under 35 U.S.C. 371

Date of Completion of ALL requirements

Date of Completion of DO/ EO 903 - Notification of Acceptance

Date of Completion of DO/ EO 905 - Notification of Missing Requirements

Date of Completion of DO/ EO 909 - Notification of Abandonment

Date of Completion of DO/ EO 916 - Notification of Defective Response

Date of Completion of DO/ EO 922 - Notification to Comply w/ Requirements for Patent
Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures

3/16/06

Date of Completion of DO/ EO 923